

# SHREE GLOBAL TRADEFIN LIMITED

Regd. Off:- 35, Ashok Chambers, Broach Street, Devji Ratensay Marg, Masjid Bunder, Mumbai – 400 009.  
Tel. No. – 022 - 23480526 CIN : L27100MH1986PLC041252 Website : [www.sgtl.in](http://www.sgtl.in)

---

## QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

**NAME OF COMPANY** : **M/S. SHREE GLOBAL TRADEFIN LIMITED**

**QUARTER ENDING ON** : **30<sup>TH</sup> JUNE, 2015**

Particulars	Clauses of listing Agreements	Compliance Status (Yes/No/N.A)	Remarks
<b>II. Board of Directors</b>	49 (II)		
(A) Composition of Board	49 (IIA)	YES	
(B) Independent Directors	49 (IIB)	YES	
(C) Non-executive Directors' compensation & disclosures	49 (IIC)	YES	The company has not paid any remuneration to its NED
(D) Other provisions as to Board and Committees	49 (IID)	YES	
(E) Code of Conduct	49 (IIE)	YES	
(F) Whistle Blower Policy	49 (IIF)	YES	
<b>III. Audit Committee</b>	49 (III)		
(A) Qualified & Independent Audit Committee	49 (IIIA)	YES	
(B) Meeting of Audit Committee	49 (IIIB)	YES	
(C) Powers of Audit Committee	49 (IIIC)	YES	
(D) Role of Audit Committee	49 (IIID)	YES	
(E) Review of Information by Audit Committee	49 (IIIE)	YES	
<b>IV. Nomination and Remuneration Committee</b>	49 (IV)	YES	
<b>V. Subsidiary Companies</b>	49 (V)	YES	The company do not have any subsidiary
<b>VI. Risk Management</b>	49 (VI)	YES	
<b>VII. Related Party Transactions</b>	49 (VII)	YES	



<b>VIII. Disclosures</b>	49 (VIII)		
(A) Related party transactions	49 (VIII A)	YES	
(B) Disclosure of Accounting Treatment	49 (VIII B)	YES	
(C) Remuneration of Directors	49(VIII C)	YES	Only Managing Director is remunerated
(D) Management	49(VIII D)	YES	
(E) Shareholders	49(VIII E)	YES	
(F) Proceeds from public issues, rights issue, preferential issues, etc	49 (VIII F)	Not Applicable	
IX. CEO/CFO Certification	49 (IX)	YES	
X. Report on Corporate Governance	49 (X)	YES	Complied in Annual Report 2014-15
XI. Compliance	49 (XI)	YES	

**For and on behalf of  
SHREE GLOBAL TRADEFIN LIMITED**



**Jitendra Kumar Sharma  
Company Secretary**



**Place: Mumbai  
Date : 07.07.2015**